

APPLICANT: Naomi Go

ATTORNEY DOCKET NO:

29793822-0148

SERIAL NO:

GROUP NO: To Be Assigned

FILING DATE:

EXAMINER: To Be Assigned

INVENTION: "INFORMATION PROCESSING APPARATUS AND METHOD, AND RECORDING MEDIUM"

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

HON. ASSISTANT COMMISSIONER OF PATENTS

Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)

☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.

☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or

☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)

☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.

☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

☐ A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By David R. Metzger Reg. 32,919

David R. Metzger

Sonnenschein, Nath & Rosenthal

P.O. Box 061080

Wacker Drive Station, Sears Tower

Chicago, Illinois 60606

Telephone (312) 876-8000

When phoning re this application,  
please call (312) 876-8000

IN THE UNITED STATES ELECTED OFFICE OF THE UNITED STATES PATENT  
AND TRADEMARK OFFICE UNDER THE PATENT COOPERATION TREATY

INFORMATION DISCLOSURE STATEMENT

APPLICANT: Naomi Go ATTORNEY DOCKET NO:  
29793822-0148  
SERIAL NO: GROUP NO: To Be Assigned  
FILING DATE: EXAMINER: To Be Assigned  
INVENTION: "INFORMATION PROCESSING APPARATUS AND METHOD,  
AND RECORDING MEDIUM"

Hon. Assistant Commissioner for Patents  
Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED UNITED STATES PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
------------------	---------------	-----------------	-------------------

II. SUBMITTED FOREIGN PATENT REFERENCES


<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
AL	11-143885	Japan	May 28, 1999
AM	10-240760	Japan	September 11, 1998
AN	11-7452	Japan	January 12, 1999
AO	10-162011	Japan	June 19, 1998
AP	7-249048	Japan	September 26, 1995
AQ	5-4274	Japan	January 22, 1993

III. OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

REMARKS

The above listed references were cited in the International Search Report, a copy of which is also attached.

Submitted by,



(Reg. 32,919)

David R. Metzger

SONNENSCHN NATH & ROSENTHAL

P.O. Box 061080

Wacker Drive Station

Sears Tower

Chicago, IL 60606-1080

Telephone: 312/876-8000

Attorneys for Applicant(s)

09/857364

JCI 08'd PCT/PTO 0 4 JUN 2001 Sheet 1 of 1

Form PTO-1449 (Rev. 8-88)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 09793822-0148	Serial No.
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		Applicant: Naomi Go	
		Filing Date	Group:

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Class	Subclass	Translation	
						Yes	No
	AL	11-143885	May 28, 1999				X
	AM	10-240760	Sep. 11, 1998				X
	AN	11-7452	Jan. 12, 1999				X
	AO	10-162011	June 19, 1998				X
	AP	7-249048	Sep. 26, 1995				X
	AQ	5-4274	Jan. 22, 1993				X

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner	Date Considered
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	